

DOCKET NO.: H0065.70068US00

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Gregg Duffy

Serial No:

10/723,977

Confirmation. No.:

Filed:

November 26, 2003

For:

**GRID MIDSOLE INSERT** 

#### **CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the day of February, 2004.

Marjorie DeP

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- [X] Information Disclosure Statement
- [X] PTO Form 1449 with cited references
- [X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

By:

Shannon M. Pratt, Reg. No.: P-55,548

David Wolf, Reg. No.: 17,528 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2211

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Docket No. H0065.70068US00

Date: a|a5|04. x02/26/04x



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Examiner: Art Unit:

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Marjorie De Pina
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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

# PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed within three months of the filing date of a National Application other than a continued prosecution application under 37 C.F.R. §1.53(d).

## PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

Serial No.: 10/723,977 - 2 - Art Unit:

## PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. Since this application was filed after June 30, 2003, copies of all U.S. patents and U.S. application publications are not enclosed. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
  - 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

 $\mathbf{R}_{\mathbf{v}}$ 

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Docket No. H0065.70068US00 Date: February 25, 2004 X02/26/04X FORM PTO-1449/A and B (Modified)

INFORMATION DISCLOSURE FILING DATE: November 26, 2003

STATEMENT BY APPLICANT

Sheet of GROUP ART UNIT: EXAMINER:

## U.S. PATENT DOCUMENTS

Examiner's	Cite	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication or of issue	
Initials No.		Number	Kind Code	Document	of Cited Document MM-DD-YYYY	
	·	812,496		Irwin	02/13/1906	
		1,650,466		Righter	11/22/1927	
		4,297,796		Stirtz, et al	11/03/1981	
		4,608,768		Cavanagh	09/02/1986	
		4,733,483		Lin	03/29/1988	
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		5,070,629		Graham, et al	12/10/1991	
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		5,718,063		Yamashita, et al	02/17/1998	
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•		6,006,449		Orlowski, et al	12/28/1999	
		6,038,790		Pyle, et al	03/21/2000	
		6,205,683	B1	Clark, et al	03/27/2001	
		6,216,365	B1	Cohen	04/17/2001	
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		2002/0092202	Al	Masseron	07/18/2002	
		2002/0092203	Al	Hardt	07/18/2002	

## FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document		ment	Name of Patentee or Applicant of Cited	Date of Publication of	Translation
		Office/ Country	Number	Kind Code	(not necessary)	Cited Document MM-DD-YYYY	(Y/N)
		EP	1197157	Al	Berger, et al	04/17/2002	
		GB	2243530	Α	Cook	11/06/1991	

Examiner's Initials	Cite No	OTHER ART — NON PATENT LITERATURE DOCUMENTS  Include the of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)	

EXAMINER	DATE CONSIDERED

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

<sup>\*</sup>a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_, filed \_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).